

ASISA STANDARD ON INVESTMENT REPORTING

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1. POSITIONING OF THE STANDARD

- 1.1. This ASISA Standard on Investment Reporting ("**Standard**") applies to ASISA members, operating in the investment environment, who are responsible for the reporting of information on investment portfolios and investment instruments. The Standard provides for 3 (three) Reporting Templates.
- 1.2. This Standard is binding on affected ASISA members.

2. INVESTMENTS REPORTING TEMPLATE

- 2.1. The Investment Reporting Template is to be used by investment managers, multi-managers and investment administrators for daily reporting of investment portfolio and instrument information to various parties within the investment industry.
- 2.2. The Investment Reporting Template is not intended to be used for instruction processing, (unless this is specifically agreed upon between the sender and the receiver) and reflects a non-look-through position.

3. SAM REPORTING TEMPLATE

- 3.1. The Solvency Assessment & Management ("**SAM**") Reporting Template is to be used by investment managers for the periodic reporting of investment holdings and static data to clients, administrators and multi-managers that must complete the SAM submissions to the Regulator.
- 3.2. The SAM Reporting Template is not intended to be used for instruction processing.

4. SARB FORMS B & C REPORTING TEMPLATE

- 4.1. The SARB Forms B & C Reporting Template is to be used by investment managers for the periodic reporting of investment holdings and static data to clients, administrators and multi-managers that must report to the SARB in the prescribed formats - the B & C Forms.

- 4.2. The SARB Forms B & C Reporting Template is not intended to be used for instruction processing.

5. CHANGES TO THE TEMPLATES

- 5.1. The Reporting Templates are designed to standardise reporting requirements within the industry, eliminating the requirement for different developments. Only the standard Reporting Templates provided by ASISA (which reflect industry agreement) are to be used and no bespoke versions may be created by or amongst members.
- 5.2. Should changes to the Reporting Templates be required, the proposed changes will be submitted to ASISA for tabling at the Reporting Standing Committee. The Reporting Standing Committee will consider, agree on and finally approve any changes to the Reporting Templates.
- 5.3. Where appropriate, in instances where proposed changes will have potential knock-on effects to other areas, such proposed changes will be referred to the Technical & Operations Board Committee for guidance to the Reporting Standing Committee and for ratification.

DOCUMENT HISTORY

Date	Publication / amendments
May 2025	First publication

RESPONSIBLE COMMITTEES AND SPA

Responsible Board Committee	Technical and Operations Board Committee
Responsible Standing Committee	Reporting Standing Committee
Responsible Senior Policy Advisor	ASISA Point Person to the Technical and Operations Board Committee